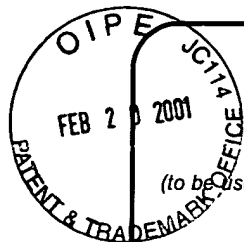


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Approved for use through MAY 22 2001 PCT  
PTO/SB/21 (08-00)  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

6

Application Number

09/367,459  
TECH CENTER 1600/2900

Filing Date

August 13, 1999

First Named Inventor

Mitterer, et al.

Group Art Unit

1646

Examiner Name

Unknown

Attorney Docket Number

20695D-000100

### ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/  
Incomplete Application

☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53

☐ Assignment Papers  
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69)  
and Accompanying Petition

☐ Petition to Convert to a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

☐ After Allowance Communication to  
Group

☐ Appeal Communication to Board of  
Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)  
(please identify below):

**Request for Corrected Filing  
Receipt with marked up copy of  
Official Filing Receipt  
Return Receipt Postcard**

Remarks

The Commissioner is authorized to charge any additional fees  
to Deposit Account 20-1430.

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
and  
Individual name

Townsend and Townsend and Crew LLP  
Scott L. Ausenhus, Reg. No. 42,271

Signature

*Scott Ausenhus*

Date

15-02-2001

### CERTIFICATE OF MAILING

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Typed or printed name

Kay Barclay

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*Kay Barclay*

Date

15-02-2001

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

FEB 20 2001

Complete if Known

|                      |                 |
|----------------------|-----------------|
| Application Number   | 09/367,459      |
| Filing Date          | August 13, 1999 |
| First Named Inventor | Mitterer et al. |
| Examiner Name        | Unknown         |
| Group Art Unit       | 1646            |
| Attorney Docket No.  | 20695D-000100   |

TOTAL AMOUNT OF PAYMENT (\$)

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number

20-1430

Deposit Account Name

Townsend and Townsend and Crew LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 101            | 710             | 201            | 355             | Utility filing fee     |          |
| 106            | 320             | 206            | 160             | Design filing fee      |          |
| 107            | 490             | 207            | 245             | Plant filing fee       |          |
| 108            | 710             | 208            | 355             | Reissue filing fee     |          |
| 114            | 150             | 214            | 75              | Provisional filing fee |          |

SUBTOTAL (1)

(\$)

## 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
|                    |              |                |          |
| Independent Claims |              |                |          |
| Multiple Dependent |              |                |          |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  |
|----------------|-----------------|----------------|-----------------|--|
| 103            | 18              | 203            | 9               | Claims in excess of 20                                     |
| 102            | 80              | 202            | 40              | Independent claims in excess of 3                          |
| 104            | 270             | 204            | 135             | Multiple dependent claim, if not paid                      |
| 109            | 80              | 209            | 40              | ** Reissue independent claims over original patent         |
| 110            | 18              | 210            | 9               | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  | Fee Paid |
|----------------|-----------------|----------------|-----------------|--|----------|
| 105            | 130             | 205            | 65              | Surcharge - late filing fee or oath  |          |
| 127            | 50              | 227            | 25              | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 139            | 130             | 139            | 130             | Non-English specification  |          |
| 147            | 2,520           | 147            | 2,520           | For filing a request for reexamination                                     |          |
| 112            | 920*            | 112            | 920*            | Requesting publication of SIR prior to Examiner action                     |          |
| 113            | 1,840*          | 113            | 1,840*          | Requesting publication of SIR after Examiner action                        |          |
| 115            | 110             | 215            | 55              | Extension for reply within first month                                     |          |
| 116            | 390             | 216            | 195             | Extension for reply within second month                                    |          |
| 117            | 890             | 217            | 445             | Extension for reply within third month                                     |          |
| 118            | 1,390           | 218            | 695             | Extension for reply within fourth month                                    |          |
| 128            | 1,890           | 228            | 945             | Extension for reply within fifth month                                     |          |
| 119            | 310             | 219            | 155             | Notice of Appeal   |          |
| 120            | 310             | 220            | 155             | Filing a brief in support of an appeal                                     |          |
| 121            | 270             | 221            | 135             | Request for oral hearing   |          |
| 138            | 1,510           | 138            | 1,510           | Petition to institute a public use proceeding                              |          |
| 140            | 110             | 240            | 55              | Petition to revive - unavoidable   |          |
| 141            | 1,240           | 241            | 620             | Petition to revive - unintentional   |          |
| 142            | 1,240           | 242            | 620             | Utility issue fee (or reissue)   |          |
| 143            | 440             | 243            | 220             | Design issue fee   |          |
| 144            | 600             | 244            | 300             | Plant issue fee  |          |
| 122            | 130             | 122            | 130             | Petitions to the Commissioner  |          |
| 123            | 50              | 123            | 50              | Petitions related to provisional applications                              |          |
| 126            | 180             | 126            | 180             | Submission of Information Disclosure Stmt                                  |          |
| 581            | 40              | 581            | 40              | Recording each patent assignment per property (times number of properties) |          |
| 146            | 710             | 246            | 355             | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149            | 710             | 249            | 355             | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179            | 710             | 279            | 355             | Request for Continued Examination (RCE)                                    |          |
| 169            | 900             | 169            | 900             | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.

## SUBMITTED BY

Complete (if applicable)

|                   |                    |                                  |        |           |              |
|-------------------|--------------------|----------------------------------|--------|-----------|--------------|
| Name (Print/Type) | Scott L. Aussenhus | Registration No. Attorney/Agent) | 42,271 | Telephone | 303-571-4000 |
| Signature         |                    |                                  |        | Date      | 15-02-2001   |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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MAY 25 2001

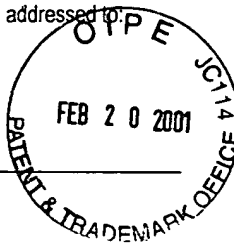
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Assistant Commissioner for Patents,  
Washington, D.C. 20231

on February 15, 2001

TOWNSEND and TOWNSEND and CREW LLP

By Kay Barclay  
Kay Barclay



TECH CENTER 1600/2900 PATENT  
Attorney Docket No.: 20695D-000100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Mitterer, et al.

Application No.: 09/367,459

Filed: August 13, 1999

For: **A METHOD FOR PURIFYING  
FACTOR vWF-COMPLEX BY  
MEANS OF CATION EXCHANGE  
CHROMATOGRAPHY**

Examiner: Unknown

Art Unit: 1653

REQUEST FOR CORRECTED FILING  
RECEIPT

Assistant Commissioner for Patents  
Washington, D.C. 20231

ATTN: Customer Correction Branch  
Application Processing Division

Sir:

Attached is a copy of the official Filing Receipt received from the Patent and Trademark Office in the above-noted application for which issuance of a corrected filing receipt is respectfully requested.

There is an error in that the number of Total Claims and number of Independent Claims is incorrect and should read as follows:

Tot. Claims: 21

Ind. Claims: 5

*Spoke to Scott Auserhus  
explained why new  
filing receipt would  
not be issued -  
RJ Johnson DO/EO  
07 Sep 01*

Mitterer, et al.  
Application No.: 09/367,459  
Page 2

RECEIVED <sup>PATENT</sup>

MAY 25 2001

The correction is not due to any error by applicant and no fee is due.

TECH CENTER 1600/2900

Please charge any additional fees or credit overpayment to the Deposit Account  
No. 20-1430. This Petition is submitted in triplicate.

Respectfully submitted,



Scott L. Ausenhus  
Reg. No. 42,271

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, CA 94111-3834  
Phone (303) 571-4000  
Fax (303) 571-4321

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MAY 25 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

TECH CENTER 1600/2900

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|

09/367,459

05/08/2000

1646

1274

BHV-305.01

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21

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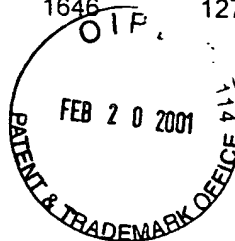
CONFIRMATION NO. 4372

FILING RECEIPT



\*OC000000005699222\*

Patent Group  
Foley Hoag & Eliot  
One Post Office Square  
Boston, MA 02109-2170



Date Mailed: 01/23/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Artur Mitterer, Mannsdorf, AUSTRIA;  
Bernhard Fischer, Vienna, AUSTRIA;  
Oyvind L. Schonberger, Vienna, AUSTRIA;  
Kathrin Thomas-Urban, Freiburg, GERMANY;  
Friedrich Dorner, Vienna, AUSTRIA;  
Johann Eibl, Vienna, AUSTRIA;

**Continuing Data as Claimed by Applicant**

THIS APPLICATION IS A 371 OF PCT/AT98/00043 02/27/1998

**Foreign Applications**

AUSTRIA A 338/97 02/27/1997

If Required, Foreign Filing License Granted 01/23/2001

Projected Publication Date:

Non-Publication Request: No

Early Publication Request: No

RECEIVED

JAN 26 2001

PATENT DEPT.  
DOCKETING

### Title

### Method for purifying factor viii/vwf company by cation-exchange chromatography

## Preliminary Class

514

**Data entry by : TAN, LEA-YUET**

**Team : OIPE**

**Date:** 01/23/2001



**RECEIVED****LICENSE FOR FOREIGN FILING UNDER MAY 25 2001****Title 35, United States Code, Section 184****Title 37, Code of Federal Regulations, 5.11 & 5.15****TECH CENTER 1600/2900****GRANTED**

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- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
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